

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	K35A0617	Total Pages	
	First Named Inventor or Application Identifier			
	MICHAEL K. ENEBOE			
	Express Mail Label No.	EI266879758US		

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 21] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 9] ___ Formal ___X_ Informal</p> <p>4. Oath or Declaration [Total Pages]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>
---	--

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____/_____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	WESTERN DIGITAL CORPORATION					
	Milad G. Shara, Esq. - Reg. 39,367					
ADDRESS	8105 IRVINE CENTER DRIVE					
	PLAZA 3					
CITY	IRVINE	STATE	CALIFORNIA	ZIP CODE	92618	
COUNTRY	U.S.A.	TELEPHONE	(949) 932-5676	FAX	(949) 932-5633	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin: 5px 0;">Note: Effective October 1, 1997. Patent fees are subject to annual revision.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$) 690		Application Number	
		Filing Date	
		First Named Inventor	
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 23-1209</p> <p>Deposit Account Name: WESTERN DIGITAL CORPORATION</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 10px 0;">FEE CALCULATION</h3> <p>1. FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 690</td><td>201 345</td><td>Utility filing fee</td><td>690.00</td></tr> <tr><td>106 310</td><td>206 155</td><td>Design filing fee</td><td></td></tr> <tr><td>107 480</td><td>207 240</td><td>Plant filing fee</td><td></td></tr> <tr><td>108 690</td><td>208 345</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$ 690.00)</td> </tr> </tbody> </table> <p>2. CLAIMS</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Total Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>19</td> <td>-20 = 0</td> <td>X 18 = 0</td> <td></td> </tr> <tr> <td>3</td> <td>-3 = 0</td> <td>X 78 = 0</td> <td></td> </tr> <tr> <td colspan="3">Multiple Dependent Claims</td> <td></td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104 260</td><td>204 130</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>109 78</td><td>209 39</td><td>Reissue independent claims over original patent</td><td></td></tr> <tr><td>110 18</td><td>210 9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$ 0)</td> </tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 690	201 345	Utility filing fee	690.00	106 310	206 155	Design filing fee		107 480	207 240	Plant filing fee		108 690	208 345	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1)			(\$ 690.00)	Total Claims	Extra	Fee from below	Fee Paid	19	-20 = 0	X 18 = 0		3	-3 = 0	X 78 = 0		Multiple Dependent Claims				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim		109 78	209 39	Reissue independent claims over original patent		110 18	210 9	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			(\$ 0)	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141 1,210</td><td>241 660</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr> <tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$)</td> </tr> </tbody> </table> <p style="font-size: x-small;">* Reduced by Basic Filing Fee Paid</p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 660	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3)			(\$)
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
101 690	201 345	Utility filing fee	690.00																																																																																																																																																																																														
106 310	206 155	Design filing fee																																																																																																																																																																																															
107 480	207 240	Plant filing fee																																																																																																																																																																																															
108 690	208 345	Reissue filing fee																																																																																																																																																																																															
114 150	214 75	Provisional filing fee																																																																																																																																																																																															
SUBTOTAL (1)			(\$ 690.00)																																																																																																																																																																																														
Total Claims	Extra	Fee from below	Fee Paid																																																																																																																																																																																														
19	-20 = 0	X 18 = 0																																																																																																																																																																																															
3	-3 = 0	X 78 = 0																																																																																																																																																																																															
Multiple Dependent Claims																																																																																																																																																																																																	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
103 18	203 9	Claims in excess of 20																																																																																																																																																																																															
102 78	202 39	Independent claims in excess of 3																																																																																																																																																																																															
104 260	204 130	Multiple dependent claim																																																																																																																																																																																															
109 78	209 39	Reissue independent claims over original patent																																																																																																																																																																																															
110 18	210 9	Reissue claims in excess of 20 and over original patent																																																																																																																																																																																															
SUBTOTAL (2)			(\$ 0)																																																																																																																																																																																														
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
105 130	205 65	Surcharge - late filing fee or oath																																																																																																																																																																																															
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																															
139 130	139 130	Non-English specification																																																																																																																																																																																															
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																																																																																															
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																															
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																															
115 110	215 55	Extension for reply within first month																																																																																																																																																																																															
116 380	216 190	Extension for reply within second month																																																																																																																																																																																															
117 870	217 435	Extension for reply within third month																																																																																																																																																																																															
118 1,360	218 680	Extension for reply within fourth month																																																																																																																																																																																															
128 1,850	228 925	Extension for reply within fifth month																																																																																																																																																																																															
119 300	219 150	Notice of Appeal																																																																																																																																																																																															
120 300	220 150	Filing a brief in support of an appeal																																																																																																																																																																																															
121 260	221 130	Request for oral hearing																																																																																																																																																																																															
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																																																																																															
140 110	240 55	Petition to revive - unavoidable																																																																																																																																																																																															
141 1,210	241 660	Petition to revive - unintentional																																																																																																																																																																																															
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																																																																																															
143 430	243 215	Design issue fee																																																																																																																																																																																															
144 580	244 290	Plant issue fee																																																																																																																																																																																															
122 130	122 130	Petitions to the Commissioner																																																																																																																																																																																															
123 50	123 50	Petitions related to provisional applications																																																																																																																																																																																															
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																																																																																															
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																															
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																															
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																															
Other fee (specify) _____																																																																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																																																																	
SUBTOTAL (3)			(\$)																																																																																																																																																																																														

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Milad G. Shara, Esq.	Reg. Number	39,367
Signature		Date	7/19/00
		Deposit Account User ID	